



#3

Case No. 10329/3

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SYSTEM FOR HANDLING ELECTRONIC MAIL, the specification of which:

- ☐ is attached hereto.
☒ was filed on February 24, 2000 as Application Serial No. 09/486,648.
☐ and was amended on February 24, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

<u>PP1638</u>	<u>Australia</u>	<u>05/02/98</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

Prior Foreign Application(s)Priority Claimed

<u>PP5947</u>	<u>Australia</u>	<u>16/09/98</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

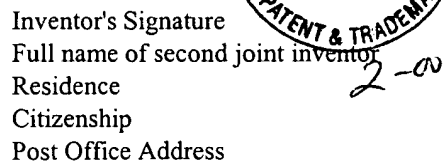
<u>PCT/AU99/00079</u>	<u>05/02/99</u>	<u>Completed</u>
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature
Full name of first inventor
Residence
Citizenship
Post Office Address

1-00

Dennis Andrew BenjaminFitzroy, Victoria 3065, AustraliaAustralia187 Johnston Street, Fitzroy, Victoria 3065, AustraliaDate: 2/05/2001Aux



Full name of second joint inventor

Citizenship

Post Office Address

[Handwritten signature]

Date:

2/5/01

Nicholas Alan Rodda

Fitzroy, Victoria 3065, Australia

Aux

Australia

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Chicago, IL 60610

(312) 321-4200

[illegible]

PTCT Rec'd 10 MAY 2001 #3

09 / 486 648

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

The Commissioner for Patents
Washington, D.C. 20231
on May 8, 2001

Date of Deposit

John C. Freeman

Name of applicant, assignee or
Registered Representative

John C. Freeman
Signature
May 8, 2001
Date of Signature

Date of Signature

Case No. 10329/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dennis Andrew Benjamin et al.

Examiner: Not Assigned

International Patent Application
No.: No. PCT/AU99/00079

International Filing
Date: February 5, 1999

U.S. Patent Application
Serial No: 09/486,648

U.S. Filing Date: February 24, 2000

Group Art Unit: Not Assigned

For: SYSTEM FOR HANDLING
ELECTRONIC MAIL

STATEMENT CLAIMING SMALL ENTITY STATUS

The Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned hereby asserts that the above-mentioned application is assigned to A PTY LTD., which qualifies for small entity status.

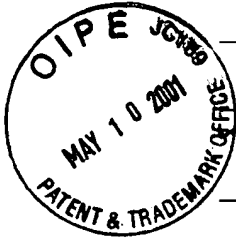
Respectfully submitted,

Dated: May 8, 2001

John C. Freeman
John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

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PATENT & TRADEMARK OFFICE



PATENTS ONLY

PATENTS ONLY

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Please record the attached original document or copy thereof.

1. Name of Party(ies) conveying an interest:

Dennis Andrew Benjamin

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of Party(ies) receiving an interest:

Name: A Pty Ltd.
 Internal Address:
 Street Address: 187 Johnston Street
 City: Fitzroy, Victoria
 State/Zip: Australia, 3065

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment ☐ Change of Name Other:
☐ Security Agreement ☐ Merger

Execution Date: November 2, 2000

4. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

Date

A. Patent Application No.(s)
 09/486,648

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, IL 60610
 (312)321-4200

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed
☐ Authorized to be charged to Deposit Account No. 23-1925

8. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John C. Freeman
 Name of Person Signing

Signature

May 8, 2001
 Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and other good and valuable
Dennis Andrew Benjamin of 187 Johnston Street, Fitzroy, Victoria 3065, Australia

HEREBY SELL ASSIGN AND TRANSFER to:

A Pty Ltd of 187 Johnston Street, Fitzroy, Victoria 3065, Australia

its successors, assigns and legal representatives the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent and is entitled

“System For Handling Electronic Mail”

which application was executed by the undersigned on the 2nd day of November 2000 and in and to any said application and all divisional, continuations, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and in any and all foreign countries on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements and the right to all benefits under the International Convention for the Protection of Industrial Property.

It is hereby authorised and request that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted to said Assignee.

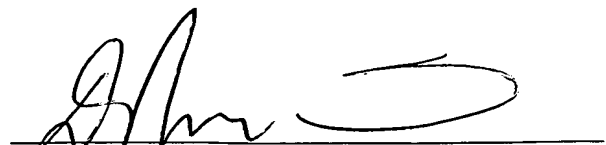
Further it is agreed that when requested, without charge to and at the expense of said Assignee, it successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and on all patents on said improvements, in said Assignee, its successors, and legal representatives.

We covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNED at Fitzroy

this 2 day of November

2000


Dennis Andrew Benjamin